

# Electron-Acceptor-Induced Isomerization of Aryl [6,5] Open Fulleroids to [6,6] Closed Methanofullerenes and the Electrochemical Evaluation of Their Free Energy Difference

Melvin H. Hall\*

Department of Chemistry and Physics, LaGrange College, LaGrange, Georgia 30240

Philip Shevlin,\* Huajun Lu, Anthony Gichuhi, and Curtis Shannon Department of Chemistry, Auburn University, Auburn, Alabama 36849

mhall@lagrange.edu

Received October 18, 2005



The thermal and photochemical rearrangements of a series of aryl substituted [6,5] open fulleroids to [6,6] closed methanofullerenes are accelerated in the presence in of electron acceptors. These [6,5] open fulleroids, facilitated by electron acceptors, rearrange thermally by a zwitteronic-type intermediate, while the photochemical reactions proceed via an excited-state electron-transfer process. The oxidation potentials of these [6,5] open fulleroids and their corresponding [6,6] closed methanofullerenes isomers have been evaluated. The free energy difference between the [6,5] open fulleroids and their corresponding [6,6] closed isomers have been estimated from the difference in their oxidation potentials.

## Introduction

The addition of diazo compounds to  $C_{60}$  generates fullerene derivatives with a one-carbon bridge across a carbon–carbon bond.<sup>1</sup> Although there are hypothetically four possible ways to add a one carbon bridge to  $C_{60}$ , only the [6,5] open fulleroids, **1**, and the [6,6] closed methanofullerenes, **2**, have been observed experimentally. The [6,5] open fulleroids **1** are the kinetically controlled product, whereas the [6,6] closed methanofullerenes **2** are the products of thermodynamic control.<sup>2</sup> The [6,5] open isomers have been reported to rearrange thermally,<sup>3</sup> photochemically,<sup>4</sup> electrochemically,<sup>5</sup> and under acid catalysis<sup>6</sup> to the methanofullerenes, which have attracted much attention as useful functionalized fullerene derivatives with electronic structures which largely preserve the  $C_{60}$  chromophore.<sup>7,8</sup> Although several workers have observed zero-order kinetics for the thermal [6,5] open to [6,6] closed rearrangement,<sup>3,9</sup> we have demonstrated that a photochemical step in the thermal rearrangement is

 <sup>(1) (</sup>a) Suzuki, T.; Li, Q.; Khemani, K. C.; Wudl, F.; Almarsson, O. Science 1991, 245, 1186–1888. (b) Vasella, A.; Uhlmann, P.; Waldraff, C. A. A.; Diederich, F.; Thilgen, C. Angew. Chem., Int. Ed. Engl. 1992, 31, 1388–1390. (c) Wudl, F. Acc. Chem. Res. 1992, 25, 157–161.
 (2) For reviews, see: (a) Diederich, F.; Isaacs, L.; Philip, D. J. Chem.

<sup>(2)</sup> For reviews, see: (a) Diederich, F.; Isaacs, L.; Philip, D. J. Chem. Soc. Rev. **1994**, 243–255. (b) Hirsch, A. The Chemistry of the Fullerenes; Thieme: Stuttgart, 1994. (c) Diederich, F.; Thilgen, C. Science **1996**, 271, 317–323. (d) Taylor, R. Lecture Notes on Fullerene Chemistry; Imperial College Press: London, 1999. (e) Yurovskaya, M. A.; Trushkov, I. V. Russ. Chem. Bull. **2002**, 51, 367–443.

<sup>(3)</sup> Smith, A. B., III; Strongin, R. M.; Brard, L.; Furst, G. T.; Romanow, W. J.; Owens, K. G.; Goldschmidt, R. J.; King, R. C. J. Am. Chem. Soc. **1995**, *117*, 5492–5502.

<sup>(4) (</sup>a) Janssen, R. A. J.; Hummelen, J. C.; Wudl, F. J. Am. Chem. Soc. **1995**, *117*, 544–545. (b) Ceroni, P.; Conti, F.; Corvaja, C.; Maggini, M.; Paolucci, F.; Roffia, S.; Scorrano, G.; Toffoletti, A. J. Phys. Chem. A **2000**, *104*, 156–163.

<sup>(5)</sup> Eiermann, M.; Wudl, F.; Prato, M.; Maggini, M. J. Am. Chem. Soc. 1994, 116, 8364-8365.

<sup>(6)</sup> Gonzalez, R.; Hummelen, J. C.; Wudl, F. J. Org. Chem. 1995, 60, 2618–2620.

<sup>(7) (</sup>a) Suzuki, T.; Maruyama, Y.; Akasaka, T.; Ando, W.; Kobayashi, K.; Nagase, S. *J. Am. Chem. Soc.* **1994**, *116*, 1359–1363. (b) Arias, F.; Echegoyen, L.; Wilson, S. R.; Lu, Q. *J. Am. Chem. Soc.* **1995**, *117*, 1422–1427.

 <sup>(8) (</sup>a) Martin, N.; Sánchez, L.; Illescas, B.; Pérez, I. Chem. Rev. 1998, 98, 2527–2547.
 (b) Echegoyen, L.; Echegoyen, L. E. Acc. Chem. Res. 1998, 31, 593–601.

<sup>(9)</sup> Hummelen, J. C.; Knight, B. W.; LePeq, F.; Wudl, F.; Yao, J.; Wilkins, C. L. J. Org. Chem. **1995**, 60, 532–538.

**SCHEME 2** 



responsible for the zero-order kinetics.<sup>10</sup> Thus, while the rearrangement of [6,5] open fulleroid **1** to [6,6] closed methanofullerene **2** occurs in 8 h under ambient light at 153 °C with zero-order kinetics, no rearrangement was observed when **1** was heated for 24 h in the dark at 153 °C. The inhibitory effect of oxygen and quenching by triplet sensitizers led us to propose the intermediacy of <sup>3</sup>**1**\* in which opening to biradical **3** is the step requiring thermal activation (Scheme 1).

We recently reported that [6,5] open fulleroids with radical stabilizing groups, 4, rearrange to the corresponding [6,6] closed isomers, 5, both by a zero-order photochemical process and by a higher energy first-order thermal pathway.<sup>11</sup> For example, the rearrangement of the [6,5] open fulleroid 4a to the [6,6] closed fullerene 5a occurs in 40 min in the presence of ambient light at 35 °C with zero-order kinetics ( $k_0 = 1.38 \times 10^{-6} \text{ M s}^{-1}$ ) with an activation energy of 9.5 kcal/mol by the mechanism in Scheme 1. However, the rearrangement of 4a occurs over 27 h in the dark at 130 °C with first-order kinetics ( $k_1 = 1.63 \times$  $10^{-5}$  s<sup>-1</sup>) and an activation energy of 23 kcal/mol. We have proposed that the higher energy unimolecular thermal rearrangement of 4 involves a disrotatory ring closure to the [6,5] closed isomer 6 which subsequently rearranges to the [6,6] closed methanofullerene 5 via a biradical-like intermediate, 7 (Scheme 2).

In this study we report that both the thermal and photochemical rearrangements of these aryl-substituted fulleroids can be facilitated by the addition of electron acceptors to the reaction mixture. To evaluate the mechanism of this unusual reaction, we have measured the kinetics of these thermal and photochemical processes. In addition, we have evaluated the oxidation potentials of a series of [6,5] open fulleroids and their [6,6] closed methanofullerenes isomers and have used the difference in the oxidation potentials of the corresponding isomers to estimate the free energy difference between them.

## **Results and Discussion**

Thermal Rearrangement of Aryl-Substituted Fulleroids in the Presence of Electron Acceptors. When a degassed solution of 4a in *o*-dichlorobenzene- $d_4$  (ODCB- $d_4$ ) was heated in the dark at 170 °C, it rearranged to 5a in 2 h. However, when a degassed solution of equal molar amounts of 4a and tetracyanoethylene (TCNE) in OCDB- $d_4$  was heated in the dark at 170 °C, the rearrangement of 4a was too fast to conveniently measure. This TCNE-promoted reaction shows first-order kinetics between 60 and 140 °C with a  $\Delta H^{\ddagger}$  of 6.7  $\pm$  0.4 kcal/mol and a  $\Delta S^{\ddagger} = -58.4 \pm 0.6$  cal/mol-deg (Figure 1). The reaction rate doubles when the amount of TCNE is doubled. The thermal isomerization of 4a in the absence TCNE has a  $\Delta H^{\ddagger}$  of 23.0  $\pm$ 0.3 kcal/mol and a  $\Delta S^{\ddagger}$  of  $-24.4 \pm 0.8$  cal/mol-deg. Thus, TCNE lowers the enthalpy barrier by about 16 kcal/mol but



**FIGURE 1.** Eyring plot of the first-order thermal rearrangement of **4a** to **5a** with TCNE present at 60, 80, 100, and 140 °C in the absence of light. An activation enthalpy of  $6.7 \pm 0.4$  kcal/mol and an activation entropy of  $-58.3 \pm 0.6$  cal/mol-deg were measured.

<sup>(10)</sup> Li, Z.; Shevlin, P. B. J. Am. Chem. Soc. 1997, 119, 1149–1150.
(11) Hall, M. H.; Lu, H.; Shevlin, P. B. J. Am. Chem. Soc. 2001, 123, 1349–1354.

places a greater entropic demand on the transition state. Since TCNE is a good electron acceptor ( $E_{\rm red} = -0.25$  V),<sup>12</sup> there is the possibility that this reaction proceeds by electron transfer. Thus, we have evaluated the rearrangement of **4a** in the presence of other electron acceptors including chloranil ( $E_{\rm red} = -0.44$  V),<sup>13</sup> C<sub>60</sub> ( $E_{\rm red} = -0.99$  V),<sup>14</sup> and *p*-dinitrobenzene ( $E_{\rm red} = -1.15$  V),<sup>15</sup> with the hope of observing a thermal electron-transfer process. Chloranil and *p*-dinitrobenzene show no effect on the rate of rearrangement of **4a**, whereas C<sub>60</sub> increases the rearrangement rate by a factor of 1.5 at 170 °C. Since C<sub>60</sub> is more difficult to reduce than chloranil, it is clear that the effectiveness of the catalysis does not parallel the electrochemical reduction potential of the acceptor.

The rate-accelerating effect of TCNE is not only observed on the fulleroids with aryl substituents on the methano bridge carbon but also manifests itself in the rearrangement of **8** to **9**. We have previously reported that the thermal rearrangement of **8** to **9** is rapid as a result of the favorable prealignment of the  $\pi$ -orbitals of the double bond for stabilization of the allylic radical that develops on the methano bridge carbon.<sup>11</sup> At 80 °C, TCNE increases the rate of rearrangement of **8** to **9** by a factor of 3.



To further consider the possibility that this reaction proceeds by an initial electron transfer from the fulleroid to TCNE, we have measured the electrochemical oxidation potentials of 4a-d, 5a-d, 8, and 9. In these cyclic voltammetric studies, double irreversible oxidation peaks were observed for all of the substituted C<sub>60</sub> adducts (Table 1). However, C<sub>60</sub> itself shows only a single reversible oxidation peak (+1.26 V).<sup>16</sup>

The first oxidation potentials of  $4\mathbf{a}-\mathbf{d}$  and  $\mathbf{8}$  are  $\pm 1.00$ ,  $\pm 1.06$ ,  $\pm 1.11$ ,  $\pm 1.11$ , and  $\pm 0.52$  V (vs Fc/Fc<sup>+</sup>), respectively. Thus, the single electron transfers from  $4\mathbf{a}-\mathbf{d}$  and  $\mathbf{8}$  to TCNE (Scheme 3) are all thermodynamically unfavorable with the calculated free energy changes of  $\pm 28.50$ ,  $\pm 30.20$ ,  $\pm 31.36$ ,  $\pm 31.36$ , and  $\pm 17.74$  kcal/mol for  $4\mathbf{a}$ ,  $4\mathbf{b}$ ,  $4\mathbf{c}$ ,  $4\mathbf{d}$ , and  $\mathbf{8}$ , respectively. The fact that the activation free energy for the TCNE-promoted rearrangement of  $4\mathbf{a}$  is 6.7 kcal/mol, whereas the free energy change for the electron-transfer process requires 28 kcal/mol, provides evidence against an electron transfer in this reaction. Furthermore, the  $\Delta S^{\pm}$  of  $-58.4 \pm 0.6$  cal/moldeg is far more negative than that for a typical electron transfer, which is usually about -11 to -16 cal/mol-deg<sup>17</sup> and seems to be more characteristic of an addition reaction. For example, the entropy of activation of several Diels-Alder reactions falls

TABLE 1. Potential Values  $(E^{\circ})$  for All Observed Redox Processes measured at 25  $^{\circ}C^{a}$ 

	$E^{\circ}$ (V vs Fc/Fc <sup>+</sup> )					
	oxidation		reduction			
compound	first	second	first			
C <sub>60</sub>	$+1.26^{b}$		$-0.99^{\circ}$			
<b>4</b> a	+1.00	+1.23	-1.28			
5a	+1.20	+1.42	-1.17			
<b>4b</b>	+1.06	+1.29	-1.28			
5b	+1.28	+1.48	-1.25			
<b>4</b> c	+1.11	+1.37	-1.16			
5c	+1.29	+1.53	-1.02			
<b>4d</b>	+1.11	+1.35	-1.22			
5d	+1.34	+1.60	-1.13			
8	+0.52	+0.79				
9	+0.74	+0.93				

<sup>*a*</sup> Values were measured in 1.0 mM ODCB/0.15 M TBAPF<sub>6</sub> with Ag/Ag<sup>+</sup> quasi-reference electrode and reported in volts relative to the potential for internal Fc/Fc<sup>+</sup>. The scan rate was 40 mV/s. <sup>*b*</sup> The oxidation potential for C<sub>60</sub> is reported in ref 16. <sup>*c*</sup> The reduction potentials for C<sub>60</sub> are reported in ref 14.

between -37 to -45 cal/mol-deg.<sup>18</sup> The unfavorable thermodynamics coupled with the fact that chloranil ( $E_{1/2} - 0.44$  V),<sup>13</sup> a better electron acceptor than C<sub>60</sub> ( $E_{1/2} - 0.99$  V),<sup>14</sup> has no effect on reaction rate, whereas C<sub>60</sub> does, argues against an electron transfer in the mechanism of the TCNE-promoted isomerizations. Reactions in which the rate parallels the electrochemical reduction potential of the acceptors are usually thought to proceed by an electron transfer.<sup>19</sup>

Dilute solutions of **4a** and TCNE in ODCB, when mixed, did not exhibit any color change that is usually observed upon the formation of charge-transfer complexes of aromatic compounds and TCNE.<sup>20</sup> The absorption spectrum of a mixture of the equal molar solutions of TCNE and **4a** is identical with the sum of its individual components at the same concentrations. These results argue against the existence of significant amounts of a charge-transfer complex between **4a** and TCNE under these conditions.

Since the data are not consistent with an electron transfer and the consequent intermediacy of radical ions, we must consider the possibility that the TCNE assists the reaction by first forming a covalently bound adduct that subsequently generates product with the elimination of the TCNE. The first step in the generation of an adduct is likely to be formation of the zwitterion 10, which could close to 11 or loose TCNE to generate 5 as shown in Scheme 4. Although NMR and MS analysis do not reveal the presence of 11, it is possible that it is formed reversibly in small quantities during the reaction. However, the simplest explanation for the effect of TCNE is the formation of zwitterion 10, which provides a low energy pathway connecting 4 and 5. The anti-Bredt strain energy associated with double bonds at the bridgehead carbons in 4 could provide a driving force for the formation of 10. The fact that the TCNE-assisted rearrangement of 4a has a more negative  $\Delta S^{\ddagger}$  than thermal rearrangement in the absence of TCNE is indicative of the associative nature of the former process. Cycloadducts similar to 11 have been observed in the thermal

<sup>(12) (</sup>a) All potentials given in this report are determined to be, or corrected to be, referenced to Fc/Fc<sup>+</sup> (b) Peover, M. *Trans. Faraday Soc.* **1962**, *58*, 2370–2374.

<sup>(13)</sup> Jackman, A. Adv. Org. Chem. 1960, 2, 329-366.

<sup>(14)</sup> Arias, F.; Xie, Q.; Wu, Y.; Lu, Q.; Wilson, S. R.; Echegoyen, L. J. Am. Chem. Soc. **1994**, 116, 6388–6394.

<sup>(15)</sup> Mann, C. K.; Barnes, K. K. *Electrochemical Reactions in Non-aqueous Systems*; Marcel Dekker: New York, 1970.

<sup>(16)</sup> Xie, Q.; Arias, F.; Echegoyen, L. J. Am. Chem. Soc. 1993, 115, 9818-9819.

<sup>(17)</sup> Borchardt, D.; Wherland, S. Inorg. Chem. 1986, 25, 901-905.

<sup>(18)</sup> Kiselev, V. D.; Kashaeva, E. A.; Iskhakova, G. G.; Shihab, M. *Tetrahedron* **1999**, *55*, 12201–12210.

<sup>(19)</sup> Peacock, N. J.; Schuster, G. B. J. Am. Chem. Soc. 1983, 1056, 3632–2638.

<sup>(20)</sup> Kim, E.; Christl, M.; Kochi, J. K. Chem. Ber. 1990, 123, 1209–1218.



**SCHEME 4** 



TABLE 2. Rate Constants (s<sup>-1</sup>) for the Thermal (170  $^{\circ}$ C) First-Order Rearrangement of [6,5] Open Fulleroids to [6,6] Closed Methanofullerenes

[6,5] open fulleroid	no catalyst <sup>a</sup>	catalyst (TCNE)
$\begin{array}{l} \textbf{4a; } R_1 = OCH_3, R_2 = p \cdot C_6H_4OCH_3 \\ \textbf{4b; } R_1 = OCH_3, R_2 = CH_3 \\ \textbf{4c; } R_1 = OCH_3, R_2 = H \\ \textbf{4d; } R_1 = NO_2, R_2 = CH_3 \\ \textbf{4e; } R_1 = H, R_2 = CH_3 \end{array}$	$\begin{array}{c} 2.27\times 10^{-4}\\ 2.29\times 10^{-5}\\ 1.37\times 10^{-5}\\ 1.56\times 10^{-5}\\ 1.03\times 10^{-5} \end{array}$	very fast $3.47 \times 10^{-3}$ $2.10 \times 10^{-4}$ $2.97 \times 10^{-5}$ $3.77 \times 10^{-5}$

<sup>a</sup> Values for the noncatalyzed reaction are reported in ref 11.

reaction of 1,1-(p-methoxyphenyl)spiropentanes assisted by TCNE, and a zwitteronic intermediate similar to 10 has been proposed.<sup>21</sup>

Table 2 demonstrates that the rate of rearrangement of fulleroids  $4\mathbf{a}-\mathbf{e}$  in the presence of TCNE decreases with decreasing ability of the substituents to stabilize a positive charge on the bridging carbon. It is interesting to note that 4d, with its electron-withdrawing *p*-NO<sub>2</sub> group rearranges faster than 4e, with a *p*-H, in the absence of TCNE but slower in its presence. This is consistent with our postulate that the bridging carbon develops radical character in the absence of TCNE but has cationic character in the lower energy TCNE-assisted pathway. The fact that compounds 8 and 9 are easier to oxidize than 4 and 5, despite the fact that they bear electron-withdrawing CO<sub>2</sub>-Et groups, may be a result of initial stabilization of the radical cation by the prealigned  $\pi$ -orbitals.

To further investigate the need for positive charge stabilization on the methano bridge in these reactions, we have examined the thermolysis of **12**, **14**, and **15** in the presence and absence of TCNE. Addition of the corresponding tosylhydrazone lithium salt to  $C_{60}$  generated **12** with a trace of **13**. There was no evidence for a [6,5] open isomer with the proton over the fivemembered ring. This isomer would have a <sup>1</sup>H NMR signal further downfield than the corresponding protons in 12 and  $13^{.22}$  Compounds 14, 15, and 16 were formed in a 70:20:10 ratio. The thermal rearrangements of 12 to 13, and 14 and 15 to 16 were not observed upon heating both solutions in the dark at 170 °C for 48 h in the presence or absence of TCNE.



The failure of these reactions to proceed is a result of the fact that **12**, **14**, and **15** do not have substituents on the bridging carbon that provide enough stabilization of either a radical or a positive charge. It is interesting to note that the zero-order photochemical rearrangements of both **12** and **14** were observed in 1,2-dichlorobenzene at 170 °C under ambient light.

We have used PM3 computed heats of formation to evaluate the involvement of the [6,5] closed isomer, **6a**, as a viable intermediate in the TCNE-catalyzed rearrangement of **4a** to **5a**. These PM3 calculations, which predict that the [6,5] closed isomer **6a** has a  $\Delta H_{\rm f}$  14.4 kcal/mol higher than that of **4a**,<sup>11</sup> appear to rule out the intermediacy of **6a** in the TCNE-promoted rearrangement, which has an activation enthalpy of only 6.7  $\pm$ 0.4 kcal/mol.

<sup>(21)</sup> Takahashi, Y.; Ohaku, H.; Morishima, S.; Suzuki, T.; Ikeda, H.; Miyashi, T. J. Chem. Soc., Perkin Trans. 1 1995, 319–325.

<sup>(22)</sup> Isaacs, L.; Wehrsig, A.; Diederich, F. Helv. Chim. Acta 1993, 76, 1231–1250.

TABLE 3. Free Energy Difference between the [6,5] Open Fulleroids and the Corresponding [6,6] Closed Isomer as Calculated by PM3 and Estimated from Experimental Oxidation Potentials

	PM3 values				experimental value		
isomer	$\Delta H_{\rm f}$ (kcal/mol)	$\Delta S^{\circ}$ (kcal/mol)	$\Delta G^{\circ}$ (kcal/mol)	$\Delta\Delta G^{\circ}$ (kcal/mol)	E°, ox (V)	$\Delta E^{\circ}(\mathbf{V})$	$\Delta\Delta G^{\circ}$ (kcal/mol)
4a	799.48	0.206	737.68		+1.00		
5a	791.98	0.208	729.83	7.85	+1.20	0.20	4.72
<b>4b</b>	799.98	0.178	746.86		+1.06		
5b	793.72	0.178	740.50	6.36	+1.28	0.22	5.19
4c	801.30	0.172	750.02		+1.11		
5c	798.77	0.172	747.37	2.65	+1.29	0.18	4.25
<b>4d</b>	830.01	0.178	776.72		+1.11		
5d	824.03	0.180	770.30	6.42	+1.34	0.23	5.42
8	730.84	0.192	673.43		+0.52		
9	724.28	0.193	666.63	6.80	+0.74	0.22	5.19

SCHEME 5



The reason for the modest increase in the rate of rearrangement of 4a in the presence of  $C_{60}$  is not clear. It may be that a zwitterion analogous to 10, with a negative charge on  $C_{60}$ , is an intermediate. The fact that such an intermediate is rather sterically unfavorable may account for the small rate increase in this system.

Electrochemical Studies of the Methanofullerenes and Fulleroids. An examination of the oxidation potentials of the fulleroids and methanofullerenes 4 and 5 in Table 1 reveals two interesting trends: (i) As the electron-donating ability of substituents on the phenyl groups of both 4 and 5 decreases, the compounds become harder to oxidize. (ii) The [6,6] closed isomers are harder to oxidize than the corresponding [6,5] open isomers but show the same substituent effect. This behavior is not consistent with the removal of an electron from the benzene moiety in which case the [6,5] open fulleroids and the [6,6] closed methanofullerene would have about the same oxidation potential. Furthermore, a substituted methoxybenzene by itself would probably be slightly harder to oxidize than 4a. For example, the oxidization potential of 1-methoxy-2,4,6-triethylbenzene has been reported at +1.24 V.23 Since the ease of oxidation of both 4 and 5 parallels the ability of the groups on the methano bridge to stabilize a positive charge, our results are consistent with formation of a positive charge on the methano carbon upon oxidation in both 4 and 5. Although the oxidation potentials of the [6,5] open and the [6,6] closed isomers depend on the electron-donating properties of groups on the methano bridge, the difference in oxidation potential between 4 and its corresponding [6,6] closed isomer 5 is always about 0.2 V (Table 3). This observation coupled with the fact that both 4 and 5 develop a positive charge on their methano carbon upon oxidation raises the possibility that oxidation of both 4 and 5 generate the same radical cation 17 (Scheme 5). In this scenario, 4 loses an electron from the  $\pi$  system with concurrent bond formation to give 17, while removal of an electron from 5 breaks a cyclopropyl bond to form 17. An analogous opening of a cyclopropyl ring upon electrochemical oxidation followed by rearrangement has been reported in 1,1-(*p*-methoxyphenyl)spiropentene (+0.71 V).<sup>21</sup>

The second oxidation peaks in the cyclic voltammograms of 4 and 5 (Figure 2) are probably due to the loss of an electron from the  $C_{60}$  cage in 17 to form the dication 18. If both 4 and 5 form the same radical cation 17 upon oxidation, the difference between the first and second oxidation peak for a given isomer pair should be the same. Table 1 demonstrates that this difference, which varies from 0.01 to 0.03 V for the isomer pairs 4, 5a-d, is in fact the same within the experimental error of our measurements.

If our postulate that both the [6,5] open 4 and the [6,6] closed 5 isomers are oxidized to the same radical cation intermediate 17 is correct, the free energy difference between 4 and 5 closed isomers is simply the difference in their oxidation potentials and can be electrochemically measured. To evaluate the validity of this assumption, we have used the PM3 method to estimate

<sup>(23)</sup> Hammerich, O.; Parker, V. D.; Ronlan, A. Acta Chem. Scand. B 30 1976, 1, 89–90.



the free energies of the [6,5] open and the [6,6] closed isomers (Table 3). These semiempirical calculations agree with the experimental observation that the [6,6] closed isomers are more stable than the corresponding[6,5] open isomers. Table 3 demonstrates that calculated free energy differences between 4 and 5 and those derived experimentally by assuming that both isomers are converted electrochemically to 17 are in reasonable agreement. If both 4 and 5 are electrochemically converted to 17, there is the possibility for electrochemical conversion of 4 to 5 under conditions in which 17 is generated. Accordingly, we have attempted a bulk electrochemical oxidation of 4a to generate 5a by holding the potential of a cell containing a 1.0 mmol solution of 4a at its first oxidation value (1.00 V vs Fc/ Fc<sup>+</sup>) in the absence of light. However, after maintaining potential of the system at 1.00 V for 30 min, the potential abruptly fell to 0.00 V with the development of polymer film on the surface of the glassy carbon electrode that blocked the



**FIGURE 2.** Cyclic voltammograms of **4a** (A) and **5a** (B) recorded in 0.1 mM ODCB/0.15 M TBAFP<sub>6</sub> at 25 °C on a glassy carbon electrode with  $Fc/Fc^+$  as internal standard. The potential scan rate was 40 mV/s.

flow of current. No **5a** was detected. Apparently the radical cation **17** is reactive toward polymerization on the surface of the glassy carbon electrode.

Photochemical Rearrangement of Aryl-Substituted Fulleroids in the Presence of Electron Acceptors. We have reported that 4a undergoes a photochemical, zero-order rearrangement to 5a under ambient light. At 25 °C under ambient light, a degassed solution of 4a in ODCB rearranges ( $k_0 = 1.94$  $\times~10^{-7}~M~s^{-1})$  to 5a in 3.5 h (Figure 3). However, when 1 molar equiv of TCNE is added to the solution of 4a under the same conditions at 25 °C, **4a** rearranges ( $k_0 = 2.10 \times 10^{-5}$  M  $s^{-1}$ ) to **5a** in 2 min with zero-order kinetics (Figure 4). No cycloaddition products were observed. A degassed solution of **4a** with chloranil (1:1 mole ratio) in ODCB- $d_4$ , when exposed to ambient light, rearranged at 25 °C with a zero-order rate constant of 6.44  $\times$  10<sup>-6</sup> M s<sup>-1</sup> in 20 min. These results appear to be consistent with an excited-state electron-transfer process. It is known that electronic excitation causes an increase in both the oxidizing and reducing potential of a molecule that give rise to electron-transfer reactions.<sup>24</sup> Scheme 6 shows two possible mechanisms in which oxidation of 4 by TCNE leads to ring opening to form 17 and the back electron transfer from TCNE forms the [6,6] closed isomer 5 (pathway a). Our current data does not preclude the involvement of a radical chain process (pathway b). An electron-transfer mechanism has been proposed in the photochemical reactions of 1,1-(p-methoxyphenyl)spiropentanes and TCNE to form methylidenecyclobutane.21



**FIGURE 3.** Kinetics of the light-promoted rearrangement of **4a** at 25 °C under ambient light;  $k_0 = 1.94 \times 10^{-7}$  M/s.

(24) Masnovi, J. M.; Kochi, J. K. J. Org. Chem. 1985, 50, 5245-5255.



**FIGURE 4.** Kinetics of the light-promoted rearrangement of **4a** in the presence of TCNE at 25 °C under ambient light;  $k_0 = 2.10 \times 10^{-5}$  M/s.

## Conclusions

These results demonstrate that both the thermal and photochemical rearrangements of aryl-substituted [6,5] open fulleroids are catalyzed in the presence of some electron acceptors. The thermal rearrangement appears to be catalyzed via an zwitteronic-type intermediate, whereas the catalyzed photochemical reaction proceeds via an excited-state electron-transfer process.

We have proposed that both [6,5] open and the [6,6] closed isomers are oxidized electrochemically to the same radical cation intermediate. If our proposal is correct, then the free energy difference between the [6,5] open and the [6,6] closed isomers is simply the difference in their oxidation potentials and can be electrochemically measured. In all cases studied, the free energy difference between the corresponding isomers is only about 5 kcal/mol.

## **Experimental Section**

We have recently reported the synthesis and characterization of 4a-e, 8, and 9.<sup>11</sup> Compound 4a was synthesized by the reaction of C<sub>60</sub> with the diazo compound. Compounds 4b-e, 8, and 9 were synthesized from the general procedure for the reaction of C<sub>60</sub> with tosylhydrazone lithium salts.

**Preparation of Compound 12.** The tosylhydrazone of 2,2dimethylpropanal was prepared by standard procedures. To a solution of the tosylhydrazone (0.1 mmol) in 3 mL of hexane at 0 °C under N<sub>2</sub> in a 250-mL flask was added MeLi (1.4 M, 0.107 mL, 0.15 mmol) using a syringe. A previously prepared solution of C<sub>60</sub> in toluene (72 mL, 1.4 mM, 0.1 mmol) was added to the flask. The flask was protected from light and heated while the solution refluxed for 30 min. The reaction mixture was monitored by TLC in toluene or hexane/toluene (4:1). The solvent was reduced in vacuo to 20 mL, which was then loaded on a silica gel column and eluted with hexane/toluene. The product was compound **12** with a trace of **13**. There was no evidence for the [6,5] open isomer in which the methine proton is over the five-membered ring [0.039 g, 37% yield]. <sup>1</sup>H NMR (ODCB- $d_4$ , 250 MHz):  $\delta$  2.49 (s, 1H), 1.56 (s, 9H). <sup>13</sup>C NMR (CDCl<sub>3</sub>, 100 MHz):  $\delta$  149.0, 147.3, 146.8, 146.0, 145.0, 144.9, 144.2, 144.0, 143.8, 143.5, 143.2, 142.9, 142.8, 141.9, 141.0, 140.8, 140.3, 140.2, 139.9, 139.1, 138.6, 137.7, 136.6, 134.4, 132.1, 131.1, 130.9, 130.0, 129.7, 128.9, 61.4, 36.7, 30.8. FABMS (NBA): m/s 791 ((M + 1)<sup>+</sup>), 720 (C<sub>60</sub><sup>+</sup>).

**Compound 13.** <sup>1</sup>H NMR (ODCB- $d_4$ , 250 MHz): [6,6] closed isomer  $\delta$  3.84 (s, 1H) 1.61 (s, 9H). <sup>13</sup>C NMR (ODCB- $d_4$ , 100 MHz):  $\delta$  148.6, 146.8, 145.8, 145.4, 145.2, 145.0, 144.9, 144.8, 144.5, 144.1, 143.6, 143.5, 143.2, 142.8, 142.6, 142.0, 141.4, 140.5, 140.1, 74.0, 53.6, 36.8, 29.7. FABMS (NBA): m/s 791 ((M + 1)<sup>+</sup>), 720 (C<sub>60</sub><sup>+</sup>). Although all of the signals for the ring carbons in **12** and **13** cannot be resolved, signals for the carbons of the bridging groups and the bridgehead carbons are consistent with the structures. The electrochemical synthesis of compound **13** has been reported.<sup>25</sup>

Compounds 14, 15, and 16 were prepared as a mixture as previously described, and the NMR spectra data agree with those in the literature.<sup>22</sup>

General Procedure for the Catalyzed Rearrangement of [6,5] Open to the [6,6] Closed Isomer. A 5-mm NMR tube, wrapped with aluminum foil, was charged with the [6,5] open isomer and 0.5 mL of ODCB- $d_4$  (ca. 3.5 mmol) at room temperature. An equal molar amount of the potential catalyst (TCNE, TNM, C<sub>60</sub>, Chloranil, *p*-DNB) was added. The NMR tube was degassed, sealed and heated in a temperature controlled jacketed flask. The kinetics were monitored by NMR spectroscopy.

**Electrochemistry.** Voltammetric experiments were performed on a potentiostat, electroanalytical system equipped with a threeelectrode cell, interfaced with a plotter. The glassy carbon working electrode, 3 mm in diameter, was used after being polished with Alpha micropolished alumina (1.0  $\mu$ m). A silver wire served as a pseudo-reference electrode. The platinum wire, used as the counter electrode, was cleaned by heating in a flame for approximately 30 s. The supporting electrolyte (TBAPF<sub>6</sub>,) was recrystallized from an ethanol/H<sub>2</sub>O (95:5) mixture and dried in vacuo prior to use. The electrochemical measurements were made by dissolving the fullerene sample (1.0 mmol) in 15 mL of ODCB with 0.15 M TBAPF<sub>6</sub>. The solution was protected from light and placed in the three-electrode electrochemical cell. The cell was degassed for 20 min by bubbling argon gas through the solution. Ferrocene was added as an internal standard. The measurements were made at a scan rate of 40 mV/s.

**Computational Studies.** Calculations were carried out on a Silicon Graphics Personal Iris workstation. Initial input structures were generated and minimized. Semiempirical PM3 calculations were then preformed using the Spartan 5.0 program to optimize all stationary points. Geometry optimizations of the [6,5] open and the [6,6] closed isomers were carried out on all input structures.

**Supporting Information Available:** Kinetic data for the rearrangements of **4a**–**d** and **8**; cyclic voltammograms of **4a**–**d**, **5a**–**d**, **8**, and **9**; and the <sup>1</sup>H and <sup>13</sup>C spectra of **12** and **13**. This material is available free of charge via the Internet at http:// pubs.acs.org.

#### JO0521706

(25) Boulas, P. L.; Zuo, Y.; Echegoyen, L. Chem. Commun. 1996, 1547–1548.